



09-10-01

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

RODNEY J. KEMPES and MARK J. PETTAY

Serial No.: 09/849,777

Filed: May 4, 2001

For: SYSTEM, METHOD AND BUSINESS  
METHOD FOR SETTLING MICROPAYMENT  
TRANSACTIONS TO A PRE-PAID  
INSTRUMENT

Group Art Unit: 2182

Examiner: Not known

Attorney Docket No.: PAT-007

## TRANSMITTAL OF MISSING PARTS

Box Missing Parts  
Commissioner for Patents  
Washington, DC 20231

Sir:

## I. DOCUMENTS ENCLOSED

In response to the **NOTICE TO FILE MISSING PARTS OF APPLICATION UNDER 37 CFR § 1.53(f)**, which was mailed by the Patent Office on July 9, 2001, enclosed are:

- ☒ Declaration
- ☒ Power of Attorney: ☒ Separate or ☐ Combined with Declaration
- ☐ Assignment of the invention to WEST CORPORATION, together with PTO Form 1595.
- ☒ A copy of the Notice to File Missing Parts of Application under 37 CFR § 1.53(f).

## II. REQUEST FOR EXTENSION OF TIME:

The proceedings herein are for a patent application and the provisions of 37 CFR § 1.13 apply.

- ☐ Applicant(s) petitions for an extension of time under 37 CFR § 1.136 [fees: 37 CFR § 1.17(a)(1)-(5)] for the total number of months checked below:

CERTIFICATE OF MAILING  
(37 CFR § 1.8a)

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as "Express Mail Post Office to Addressee" is an envelope addressed to the Commissioner of Patents, Washington, DC 20231

EE89661482105

Express Mail Label No.

September 7, 2001

Date of Deposit

Angela D. Janke

Name of Personal Mailing Paper

Angela D. Janke

Signature of Person Mailing Paper

09-10-01 "090704"

EXTENSION (months)	FEE FOR SMALL ENTITY	FEE FOR OTHER THAN SMALL ENTITY
<input type="checkbox"/> One month	\$ 55.00	\$110.00
<input type="checkbox"/> Two months	\$195.00	\$390.00
<input type="checkbox"/> Three months	\$445.00	\$890.00
<input type="checkbox"/> Four months	\$695.00	\$1,390.00
<input type="checkbox"/> Five months	\$945.00	\$1,890.00
<b>Total fee</b>		<b>\$</b>

☐ If an additional extension fee is required, please consider this a petition therefor.

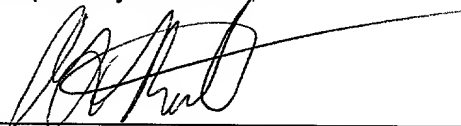
### III. FILING FEES

<b>BASIC FILING FEE:</b>								\$ 710.00
Total Claims	37	-	20	=	17	x	\$18.00	\$ 306.00
Independent Claims	6	-	3	=	3	x	\$80.00	\$ 240.00
Multiple Dependent Claims	\$270	(if applicable)					<input type="checkbox"/>	\$
Surcharge 37 CFR § 1.16(e)	\$130	(if applicable)						\$ 130.00
<b>TOTAL OF ABOVE CALCULATIONS</b>								<b>\$1,386.00</b>
Reduction by ½ for Filing by Small Entity. Note 37 CFR §§ 1.9, 1.27 1.28. If applicable, Verified Statement must be attached.								<input type="checkbox"/> \$ 0
Misc. Filing Fees (Recordation of Assignment -- \$40)								\$ 0
<b>TOTAL FEES DUE HEREWITH</b>								<b>\$1,386.00</b>

### IV. METHOD OF PAYMENT OF FEES

- ☒ A check in the amount of \$1,386.00 is enclosed to cover the above fee(s).
- ☐ Charge West Corporation's Deposit Account No. \_\_\_\_\_ in the amount of \$\_\_\_\_\_.
- ☒ The Commissioner is authorized by charge West Corporation's Deposit Account No. 50-1880 for any fees required under 37 CFR §§ 1.16, 1.17 and 1.445 that are not covered, in whole or in part, by a check enclosed herewith and to credit any overpayments to said Deposit Account No. 50-1880

Respectfully submitted,



Rocco L. Adornato  
Reg. No. 40,480

Dated: September 7, 2001

West Corporation  
11808 Miracle Hills Drive  
Omaha, NE 68154  
(402) 963-1200

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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/849,777	05/04/2001	Rodney J. Kempkes	PAT-007

CONFIRMATION NO. 9890

## FORMALITIES LETTER



\*OC00000006274140\*

WEST CORPORATION  
11808 Miracle Hills Drive  
Omaha, NE 68154

Date Mailed: 07/09/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$546.
  - \$306 for 17 total claims over 20.
  - \$240 for 3 independent claims over 3.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter
- **The balance due by applicant is \$ 1386.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm (3/8 inch);

09/13/2001 MBELETE1 00000073 09849777

01 FC:101	710.00 OP
02 FC:102	240.00 OP
03 FC:103	306.00 OP
04 FC:105	130.00 OP

*A copy of this notice MUST be returned with the reply.*

*Jeble*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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